

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

Our Docket No.: 33373/US

First Named Inventor: Robert T. Clark

Title: System, Method and Computer Program Product for Assessing Risk of Identity Theft

Express Mail No.: EV324255198US

22386 U.S.P.T.O.  
10/697076



**ADDRESS TO:**

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**APPLICATION ELEMENTS**

1.  Fee Calculation Sheet  
(Submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status
3.  Specification Total Pages: 44
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Re: Fed. Sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
4.  Drawings (35 U.S.C. 113) Total Sheets: 14
5.  Oath or Declaration Total Pages:
  - a.  Newly Executed (original or copy)
  - b.  Copy from a prior application (37 C.F.R. 1.63(d))  
(for continuation/divisional with Box 19 completed)
    - i.  **DELETION OF INVENTORS**  
Signed statement attached deleting inventor(s) named in the prior application (37 C.F.R. 1.63(d)(2) and 1.33(b))
6.  Application Data Sheet (37.C.F.R. 1.76)
7.  CD-ROM or CD-R in duplicate,
8.  large table or Computer Program (Appendix)
9.  Nucleotide and/or Amino Acid Sequence Submission  
(If applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b.  Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statement verifying identify of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Certification Under 35 U.S.C. 122(b)(2)(B)(i)
10.  Assignment Papers (cover sheet & document(s))
11.  37 C.F.R. 3.73(b) Submission
12.  Power of Attorney
13.  Information Disclosure Statement  
(with Copies of Citations as necessary)
14.  Preliminary Amendment Total Pages:
15.  Certified Copy of Priority document(s)  
(if foreign priority is claimed)
16.  English Translation Document (if applicable)
17.  Return Receipt Postcard  
(Should be specifically itemized)
18.  Other
  - Check for \$ 803.00
  - Copy of Power of Attorney from prior application
  - 
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**CONTINUATION APPLICATIONS**

19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation  
 Division  
 Continuation-in-Part (CIP)

of PRIOR APPLICATION

No. \_\_\_\_\_, filed \_\_\_\_\_  
and also claims priority from \_\_\_\_\_, dated \_\_\_\_\_.

For CONTINUATION or DIVISIONAL APPLICATIONS: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference.

**CORRESPONDENCE ADDRESS**

ADDRESS	CUSTOMER NUMBER		DORSEY & WHITNEY LLP Intellectual Property Department Suite 1500 50 South Sixth Street Minneapolis, MN 55402-1498	
TELEPHONE	25763		FAX	612-340-8856
Attorney Name: Min (Amy) S. Xu			Reg. No. 39,536	
Signature:			Date: Oct. 30, 2003	

17414  
103003  
U.S.PTO

**APPLICATION  
FEE TRANSMITTAL SHEET  
(FY 2004)**

<i>Complete if Known</i>	
Application No.	Unknown
Filing Date	Herewith
First Named Inventor	Robert T. Clark
Group Art Unit	Unknown
Examiner Name	Unknown
Atty. Docket Number	33737/US

METHOD OF PAYMENT (Check One)			FEE CALCULATION (Continued)		
1. <input checked="" type="checkbox"/> The Director is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account No.: <u>04-1420</u> Deposit Account Name: <u>DORSEY &amp; WHITNEY LLP</u>			<b>3. ADDITIONAL FEES</b>		
<input checked="" type="checkbox"/> Charge any additional fee required under 37 C.F.R. 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status (see 37 C.F.R. 1.27)			Large Entity Fee  <b>50</b> Small Entity Fee  <b>25</b>	Fee Description  Surcharge - late provisional filing fee or cover sheet	Fee paid  Fee paid
2. <input checked="" type="checkbox"/> Check Enclosed			<b>130</b> Small Entity Fee  <b>65</b>	Surcharge – Late nonprovisional filing fee or oath	
<b>FEE CALCULATION</b>			<b>180</b> Large Entity Fee  <b>180</b>	Submission of IDS	
1. BASIC FILING FEE			<b>40</b> Large Entity Fee  <b>40</b>	Recording each patent assignment per property (times number of properties)	
Large Entity Fee  <b>160</b>	Small Entity Fee  <b>80</b>	<input type="checkbox"/> Provisional Filing Fee	<b>110</b> Large Entity Fee  <b>55</b>	Extension for reply within first month	
<b>770</b>	<b>385</b>	<input checked="" type="checkbox"/> Utility Filing Fee	<b>420</b> Large Entity Fee  <b>210</b>	Extension for reply within second month	
<b>340</b>	<b>170</b>	<input type="checkbox"/> Design Filing Fee	<b>950</b> Large Entity Fee  <b>475</b>	Extension for reply within third month	
<b>770</b>	<b>385</b>	<input type="checkbox"/> Reissue Filing Fee	<b>1,480</b> Large Entity Fee  <b>740</b>	Extension for reply within fourth month	
<b>Subtotal (1)</b> 385.00			<b>2,010</b> Large Entity Fee  <b>1,005</b>	Extension for reply within fifth month	
2. EXTRA* CLAIM FEES			<b>770</b> Large Entity Fee  <b>385</b>	Submission After Final 1.129	
Number Claims  <b>Total</b> 33	Prior  <b>20</b>	Extra  <b>= 13</b>	<b>Fee from Below*</b>  <b>x 9.00</b>	Fee Paid  <b>= 117.00</b>	
Indep.    10	<b>- 3</b>	<b>= 7</b>	<b>x 43.00</b>	<b>= 301.00</b>	
Multiple Dependent Claims			<b>=</b>	<b>x</b>	<b>=</b>
<b>Subtotal (2)</b> 418.00			<b>330</b> Large Entity Fee  <b>165</b>	Notice of Appeal	
*Calculation of Extra Claim Fees			<b>330</b> Large Entity Fee  <b>165</b>	Filing a brief in support of an appeal	
Large Entity Fee  <b>18</b>			<b>290</b> Large Entity Fee  <b>145</b>	Request for oral hearing	
Small Entity Fee  <b>9</b>			<b>110</b> Large Entity Fee  <b>55</b>	Terminal Disclaimer Fee	
Claims in excess of 20			<b>130</b> Large Entity Fee  <b>130</b>	Petitions to the Commissioner	
Large Entity Fee  <b>86</b>			<b>50</b> Large Entity Fee  <b>50</b>	Petitions related to provisional applications	
Independent claims in excess of 3			<b>1,330</b> Large Entity Fee  <b>665</b>	Utility/Reissue Issue Fee (including advance copies)	
Large Entity Fee  <b>290</b>			<b>480</b> Large Entity Fee  <b>240</b>	Design Issue Fee (inc. advance copies)	
Small Entity Fee  <b>145</b>			<b>770</b> Large Entity Fee  <b>385</b>	Request for Continued Examination (RCE)	
Multiple dependent Claim			<b>300</b> Large Entity Fee  <b>300</b>	Publication fee for early, voluntary, or normal publication	
Large Entity Fee  <b>86</b>			<b>110</b> Large Entity Fee  <b>55</b>	Publication fee for re-publication	
Small Entity Fee  <b>43</b>			<b>1,330</b> Large Entity Fee  <b>665</b>	Petition to Revive – unavoidable	
Reissue independent claims over original patent			<b>OTHER FEE (specify)</b>		
Large Entity Fee  <b>18</b>			<b>Subtotal (3)</b> 0.00		
Small Entity Fee  <b>9</b>			<b>Total Amount of Payment:</b> 803.00		
Reissue claims in excess of 20 and over original patent					

Submitted by:

CUSTOMER NUMBER  <b>25763</b>	DORSEY & WHITNEY LLP	Intellectual Property Department Suite 1500, 50 South Sixth Street Minneapolis, MN 55402		
Name: Min (Amy) S. Xu		Phone No.: 752-7367    Fax No.: (612) 340-8856		
Signature: 		Reg. No.: 39,536    Date: Oct. 30, 2003		